

# Why Logic?

- Logic is one of the best developed system for representing knowledge.
- Can be used for analysis, design and specification.
- Understanding formal logic is a prerequisite for understanding most research papers in KRR.

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# The Right Logic ...

- Logics of different **orders** (1st, 2nd, ...)
- **Modal** logics
  - epistemic
  - temporal
  - dynamic (program)
  - multi-
  - ...
- **Many-valued** logics
- **Conditional** logics
- **Nonmonotonic** logics
- **Linear** logics
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# The Logical Approach

- Define a **formal language**
- logical & non-logical symbols, syntax rules
- Provide language with **compositional semantics**
  - Fix **universe** of discourse
  - Specify how the non-logical symbols can be **interpreted**
  - interpretation
  - Rules how to **combine** interpretation of single symbols
  - **Satisfying interpretation = model**
  - From that logical implication/entailment follows
- Specify a **calculus** that allows to derive new formulae from old ones – according to the entailment relation

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# Propositional Logic: Main Ideas

- Non-logical symbols: propositional **variables** or **atoms**
  - representing **propositions** which cannot be decomposed
  - which can be **true** or **false**
  - for example:
    - “Snow is white”
    - “It rains”
- Logical Symbols: propositional connectives such as **and** ( $\wedge$ ), **or** ( $\vee$ ), and **not** ( $\neg$ ).
- Formulae: built out of atoms and connectives
- Universe of discourse: truth values

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# Syntax

Countable alphabet  $\Sigma$  of atomic propositions:  $a, b, c, \dots$   
Propositional formulae are built according to the following rule:

$\varphi$	$\longrightarrow$	$a$	<i>atomic formula</i>
		$\perp$	<i>falsity</i>
		$\top$	<i>truth</i>
		$(\neg\varphi')$	<i>negation</i>
		$(\varphi' \wedge \varphi'')$	<i>conjunction</i>
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		$(\varphi' \rightarrow \varphi'')$	<i>implication</i>
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**Operator precedence:**  $\neg > \wedge > \vee > \rightarrow = \leftrightarrow$ .

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# Semantics: Idea

- Atomic propositions can be true ( $1, T$ ) or false ( $0, F$ ).
- Provided the truth values of the atoms have been fixed (truth assignment or interpretation), the truth value of a formula can be computed from the truth values of the atoms and the connectives.
- Example:

$$(a \vee b) \wedge c$$

is true *iff*  $c$  is true and additionally  $a$  or  $b$  is true.

- Logical implication can then be defined as follows:
- $\varphi$  is **implied** by the formulae  $\Theta$  *iff*  $\varphi$  is true for all truth assignments (world states) that make all formulae in  $\Theta$  true.

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# Formal Semantics

An **interpretation** or **truth assignment** over  $\Sigma$  is a function:

$$\mathcal{I}: \Sigma \rightarrow \{T, F\}.$$

A formula  $\psi$  is **true under**  $\mathcal{I}$  or is **satisfied by**  $\mathcal{I}$  (symbolically  $\mathcal{I} \models \psi$ ):

$$\mathcal{I} \models a \quad \text{iff} \quad \mathcal{I}(a) = T$$

$$\mathcal{I} \models \top$$

$$\mathcal{I} \not\models \perp$$

$$\mathcal{I} \models \neg\varphi \quad \text{iff} \quad \mathcal{I} \not\models \varphi$$

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# Formal Semantics

An **interpretation** or **truth assignment** over  $\Sigma$  is a function:

$$\mathcal{I}: \Sigma \rightarrow \{T, F\}.$$

A formula  $\psi$  is **true under**  $\mathcal{I}$  or is **satisfied by**  $\mathcal{I}$  (symbolically  $\mathcal{I} \models \psi$ ):

$$\mathcal{I} \models a \quad \text{iff} \quad \mathcal{I}(a) = T$$

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$$\mathcal{I} : a \mapsto T, b \mapsto F, c \mapsto F, d \mapsto T,$$

Is  $((a \vee b) \leftrightarrow (c \vee d)) \wedge (\neg(a \wedge c) \vee (c \wedge \neg d))$  true or false?

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# Terminology

An interpretation  $\mathcal{I}$  is a **model** of  $\varphi$  iff

$$\mathcal{I} \models \varphi$$

A formula  $\varphi$  is

- **satisfiable** iff there is  $\mathcal{I}$  such that  $\mathcal{I} \models \varphi$ ,
- **unsatisfiable** otherwise, and
- **valid** iff  $\mathcal{I} \models \varphi$  for all  $\mathcal{I}$ ,
- **falsifiable** otherwise.

Two formulae  $\varphi$  and  $\psi$  are **logically equivalent** (symbolically  $\varphi \equiv \psi$ ) iff for all interpretations  $\mathcal{I}$

$$\mathcal{I} \models \varphi \text{ iff } \mathcal{I} \models \psi.$$

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# Examples

Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \vee b \vee \neg c) \wedge (\neg a \vee \neg b \vee d) \wedge (\neg a \vee b \vee \neg d)$$

$\rightsquigarrow$  **satisfiable:**  $a \mapsto T, b \mapsto F, d \mapsto F, \dots$

$\rightsquigarrow$  **falsifiable:**  $a \mapsto F, b \mapsto F, c \mapsto T, \dots$

$$((\neg a \rightarrow \neg b) \rightarrow (b \rightarrow a))$$

$\rightsquigarrow$  **satisfiable:**  $a \mapsto T, b \mapsto T$

$\rightsquigarrow$  **valid:** Consider all interpretations or argue about falsifying ones.

Equivalence?

$$\neg(a \vee b) \equiv \neg a \wedge \neg b$$

$\rightsquigarrow$  Of course, equivalent (de Morgan).

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# Some Obvious Consequences

## Proposition

*$\varphi$  is valid iff  $\neg\varphi$  is unsatisfiable and  $\varphi$  is satisfiable iff  $\neg\varphi$  is falsifiable.*

## Proposition

*$\varphi \equiv \psi$  iff  $\varphi \leftrightarrow \psi$  is valid.*

## Theorem

*If  $\varphi \equiv \psi$  and  $\chi'$  results from substituting  $\varphi$  by  $\psi$  in  $\chi$ , then  $\chi' \equiv \chi$ .*

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# Some Obvious Consequences

## Proposition

*$\varphi$  is valid iff  $\neg\varphi$  is unsatisfiable and  $\varphi$  is satisfiable iff  $\neg\varphi$  is falsifiable.*

## Proposition

*$\varphi \equiv \psi$  iff  $\varphi \leftrightarrow \psi$  is valid.*

## Theorem

*If  $\varphi \equiv \psi$  and  $\chi'$  results from substituting  $\varphi$  by  $\psi$  in  $\chi$ , then  $\chi' \equiv \chi$ .*

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# Some Equivalences

## simplifications

$$\varphi \rightarrow \psi \equiv \neg\varphi \vee \psi$$

$$\varphi \leftrightarrow \psi \equiv (\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$$

idempotency

$$\varphi \vee \varphi \equiv \varphi$$

$$\varphi \wedge \varphi \equiv \varphi$$

commutativity

$$\varphi \vee \psi \equiv \psi \vee \varphi$$

$$\varphi \wedge \psi \equiv \psi \wedge \varphi$$

associativity

$$(\varphi \vee \psi) \vee \chi \equiv \varphi \vee (\psi \vee \chi)$$

$$(\varphi \wedge \psi) \wedge \chi \equiv \varphi \wedge (\psi \wedge \chi)$$

absorption

$$\varphi \vee (\varphi \wedge \psi) \equiv \varphi$$

$$\varphi \wedge (\varphi \vee \psi) \equiv \varphi$$

distributivity

$$\varphi \wedge (\psi \vee \chi) \equiv (\varphi \wedge \psi) \vee (\varphi \wedge \chi)$$

$$\varphi \vee (\psi \wedge \chi) \equiv (\varphi \vee \psi) \wedge (\varphi \vee \chi)$$

double negation

$$\neg\neg\varphi \equiv \varphi$$

constants

$$\neg\perp \equiv \perp$$

$$\neg\perp \equiv \top$$

De Morgan

$$\neg(\varphi \vee \psi) \equiv \neg\varphi \wedge \neg\psi$$

$$\neg(\varphi \wedge \psi) \equiv \neg\varphi \vee \neg\psi$$

truth

$$\varphi \vee \top \equiv \top$$

$$\varphi \wedge \top \equiv \varphi$$

falsity

$$\varphi \vee \perp \equiv \varphi$$

$$\varphi \wedge \perp \equiv \perp$$

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# How Many Different Formulae Are There ...

... for a given *finite* alphabet  $\Sigma$ ?

- Infinitely many:  $a, a \vee a, a \wedge a, a \vee a \vee a, \dots$
- How many different logically distinguishable (non-equivalent) formulae?
  - For  $\Sigma$  with  $n = |\Sigma|$ , there are  $2^n$  different interpretations.
  - A formula can be characterized by its set of models (if two formulae are logically non-equivalent then their sets of models differ).
  - There are  $2^{(2^n)}$  different sets of interpretations.
  - There are  $2^{(2^n)}$  logical equivalence classes of formulae.

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# Logical Implication

- Extension of the relation  $\models$  to sets  $\Theta$  of formulae:

$$\mathcal{I} \models \Theta \text{ iff } \mathcal{I} \models \varphi \text{ for all } \varphi \in \Theta.$$

- $\varphi$  is **logically implied** by  $\Theta$  (symbolically  $\Theta \models \varphi$ ) iff  $\varphi$  is true in all models of  $\Theta$ :

$$\Theta \models \varphi \text{ iff } \mathcal{I} \models \varphi \text{ for all } \mathcal{I} \text{ such that } \mathcal{I} \models \Theta$$

- Some consequences:
  - Deduction theorem:  $\Theta \cup \{\varphi\} \models \psi$  iff  $\Theta \models \varphi \rightarrow \psi$
  - Contraposition:  $\Theta \cup \{\varphi\} \models \neg\psi$  iff  $\Theta \cup \{\psi\} \models \neg\varphi$
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# Normal Forms

## Terminology:

- Atomic formulae  $a$ , negated atomic formulae  $\neg a$ , truth  $\top$  and falsity  $\perp$  are **literals**.
- A disjunction of literals is **a clause**.
- If  $\neg$  only occurs in front of an atom and there are no occurrences of  $\rightarrow$  and  $\leftrightarrow$ , the formula is in **negation normal form (NNF)**.  
Example:  $(\neg a \vee \neg b) \wedge c$ , but not:  $\neg(a \wedge b) \wedge c$
- A conjunction of clauses is in **conjunctive normal form (CNF)**.  
Example:  $(a \vee b) \wedge (\neg a \vee c)$
- The dual form (disjunction of conjunctions of literals) is in **disjunctive normal form (DNF)**.  
Example:  $(a \wedge b) \vee (\neg a \wedge c)$

# Normal Forms

## Terminology:

- Atomic formulae  $a$ , negated atomic formulae  $\neg a$ , truth  $\top$  and falsity  $\perp$  are **literals**.
- A disjunction of literals is **a clause**.
- If  $\neg$  only occurs in front of an atom and there are no occurrences of  $\rightarrow$  and  $\leftrightarrow$ , the formula is in **negation normal form (NNF)**.

Example:  $(\neg a \vee \neg b) \wedge c$ , but not:  $\neg(a \wedge b) \wedge c$

- A conjunction of clauses is in **conjunctive normal form (CNF)**.

Example:  $(a \vee b) \wedge (\neg a \vee c)$

- The dual form (disjunction of conjunctions of literals) is in **disjunctive normal form (DNF)**.

Example:  $(a \wedge b) \vee (\neg a \wedge c)$

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# Negation Normal Form

## Theorem

*For each propositional formula there is a logically equivalent formula in NNF.*

## Proof.

First eliminate  $\rightarrow$  and  $\leftrightarrow$  by the appropriate equivalences. The rest of the proof is by structural induction.

Base case: Claim is true for  $a, \neg a, \top, \perp$ .

Inductive case: Assume claim is true for all formulae  $\varphi$  (up to a certain number of connectives) and call its NNF  $nnf(\varphi)$ .

- $nnf(\varphi \wedge \psi) = nnf(\varphi) \wedge nnf(\psi)$
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# How to Decide Properties of Formulae

How do we decide whether a formula is satisfiable, unsatisfiable, valid, or falsifiable?

Note: Satisfiability and falsifiability are NP-complete. Validity and unsatisfiability are co-NP-complete.

- A CNF formula is valid iff all clauses contain two complementary literals or  $\top$ .
- A DNF formula is satisfiable iff one disjunct does not contain  $\perp$  or two complementary literals.
- However, transformation to CNF or DNF may take exponential time (and space!).
- One can try out all truth assignments.
- One can test systematically for satisfying truth assignments (backtracking search)  $\rightsquigarrow$  Davis-Putnam procedure (DP)

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# Deciding Entailment

- We want to decide  $\Theta \models \varphi$ .
- Use deduction theorem and reduce to validity:

$$\Theta \models \varphi \text{ iff } \bigwedge \Theta \rightarrow \varphi \text{ is valid.}$$

- Now negate and test for unsatisfiability using DP.
- Different approach: Try to **derive**  $\varphi$  from  $\Theta$  – find a **proof** of  $\varphi$  from  $\Theta$
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- One particular calculus: **resolution**

# Resolution: Representation

- We assume that all formulae are in CNF.
  - Can be generated using the described method.
  - Often formulae are already close to CNF.
  - There is a “cheap” conversion from arbitrary formulae to CNF that preserves satisfiability – which is enough as we will see.
- More convenient representation
  - CNF formula is represented as set.
  - Each clause is a set of literals.
  - $(a \vee \neg b) \wedge (\neg a \vee c) \rightsquigarrow \{\{a, \neg b\}, \{\neg a, c\}\}$
- Empty clause (symbolically  $\square$ ) and empty set of clauses (symbolically  $\emptyset$ ) are different!

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# Resolution: The Inference Rule

Let  $l$  be a literal and  $\bar{l}$  its complement.

The resolution rule

$$\frac{C_1 \cup \{l\}, C_2 \cup \{\bar{l}\}}{C_1 \cup C_2}$$

$C_1 \cup C_2$  is the **resolvent** of the **parent clauses**  $C_1 \cup \{l\}$  and  $C_2 \cup \{\bar{l}\}$ .  $l$  and  $\bar{l}$  are the **resolution literals**.

Example:  $\{a, b, \neg c\}$  resolves with  $\{a, d, c\}$  to  $\{a, b, d\}$ .

Note: The resolvent is **not** logically equivalent to the set of parent clauses!

Notation:

$$R(\Delta) = \Delta \cup \{C \mid C \text{ is resolvent of two clauses in } \Delta\}$$

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*Let  $D$  be a clause. If  $\Delta \vdash D$  then  $\Delta \models D$ .*

Proof idea.

Show  $\Delta \models D$  if  $D \in R(\Delta)$  and use induction on proof length.

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Case 1:  $\mathcal{I} \models l$  then there must be a literal  $m \in C_2$  s.t.  $\mathcal{I} \models m$ . This implies  $\mathcal{I} \models D$ .

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# Resolution: Completeness?

Do we have

$$\Delta \models \varphi \text{ implies } \Delta \vdash \varphi?$$

Of course, could only hold for CNF. However:

$$\left\{ \{a, b\}, \{\neg b, c\} \right\} \models \{a, b, c\}$$
$$\not\models \{a, b, c\}$$

However, one can show that resolution is **refutation complete**:

$$\Delta \text{ is unsatisfiable iff } \Delta \vdash \square.$$

Entailment: Reduce to unsatisfiability testing and decide by resolution.

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# Resolution Strategies

- Trying out all different resolutions can be very costly,
- and might not be necessary.
- There are different **resolution strategies**.
- Examples:
  - **Input resolution** ( $R_I(\cdot)$ ): In each resolution step, one of the parent clauses must be a clause of the input set.
  - **Unit resolution** ( $R_U(\cdot)$ ): In each resolution step, one of the parent clauses must be a unit clause.
  - Not all strategies are (refutation) completeness preserving. Neither input nor unit resolution is. However, there are others.

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# Horn Clauses & Resolution

**Horn clauses:** Clauses with at most one positive literal

Example:  $(a \vee \neg b \vee \neg c), (\neg b \vee \neg c)$

## Proposition

*Unit resolution is refutation complete for Horn clauses.*

## Proof idea.

Consider  $R_U^*(\Delta)$  of Horn clause set  $\Delta$ . We have to show that if  $\square \notin R_U^*(\Delta)$ , then  $\Delta (\equiv R_U^*(\Delta))$  is satisfiable.

- Assign *true* to all unit clauses in  $R_U^*(\Delta)$ .
- Those clauses that do not contain a literal  $l$  such that  $\{l\}$  is one of the unit clauses have at least one negative literal.
- Assign true to these literals.
- Results in satisfying truth-assignment for  $R_U^*(\Delta)$  (and  $\Delta \subseteq R_U^*(\Delta)$ ).

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